

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

| | | | |
|--|--|--|--|
| (51) International Patent Classification ⁶ : D21H 19/24, 21/40 | | A1 | (11) International Publication Number: WO 96/28610 (43) International Publication Date: 19 September 1996 (19.09.96) |
| (21) International Application Number: PCT/GB96/00562 | | (81) Designated States: AL, AM, AT, AU, AZ, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IS, JP, KE, KG, KP, KR, KZ, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, US, UZ, VN, ARIPO patent (KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG). | |
| (22) International Filing Date: 11 March 1996 (11.03.96) | | | |
| (30) Priority Data: 9505062.1 13 March 1995 (13.03.95) GB 9523838.2 22 November 1995 (22.11.95) GB | | | |
| (71) Applicant (<i>for all designated States except US</i>): PORTALS LIMITED [GB/GB]; 6 Agar Street, London WC2N 4DE (GB). | | Published <i>With international search report.</i> | |
| (72) Inventors; and | | | |
| (75) Inventors/Applicants (<i>for US only</i>): HOWLAND, Paul [GB/GB]; 71 Springfield Close, Andover, Hampshire SP10 2QR (GB). FOULKES, Jonathan, Paul [GB/GB]; 9 Weyhill Gardens, Weyhill, Andover, Hampshire P11 OQS (GB). | | | |
| (74) Agent: BOULT WADE TENNANT; 27 Furnival Street, London EC4A 1PQ (GB). | | | |
| (54) Title: SECURITY PAPER | | | |
| (57) Abstract | | | |
| <p>The present invention relates to a method for producing security paper which includes a security feature. The method comprises forming paper in a wet state, which paper incorporates one or more security features, applying to the paper a sizing agent, thereafter applying to one or both sides of the sized paper a coating comprising an unpigmented polyurethane. The unpigmented polyurethane may optionally comprise a functional additive provided that the presence of the functional additive does not increase the opacity of the paper by more than 1 %. After the polyurethane has been applied the paper is dried. The coating composition provides a film, when cast on a glass surface, having a König hardness of from 15 to 130 seconds, and also passes the water resistance test as defined by the following steps: a) the total formulation to be used in the coating is cast on a glass plate so as to produce a film with a dry weight of 80 g/m²; b) the film is initially dried at 23 °C. Once it is tack free it is dried for an additional hour at 80 °C; c) the film is weighed before being wetted and tested for tensile strengths, Young's Modulus and is visually checked for any change in its transparency; d) a sample of the film is boiled in water containing 10g/litre Na₂CO₃ for 30 mins; e) the film is then rinsed in cold water and the steps b) to c) are then repeated; wherein when the film is dried and re-weighed the film meets the following criteria: i) the wet tensile strength and Young's Modulus of the boiled film is not less than 90 % of the initial film wet tensile strength and Young's Modulus; ii) the film shows no perceptible loss of transparency; and iii) the dried weight of the film is not less than 98 % of the original weight.</p> | | | |

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

| | | | | | |
|----|--------------------------|----|--|----|--------------------------|
| AM | Armenia | GB | United Kingdom | MW | Malawi |
| AT | Austria | GE | Georgia | MX | Mexico |
| AU | Australia | GN | Guinea | NE | Niger |
| BB | Barbados | GR | Greece | NL | Netherlands |
| BE | Belgium | HU | Hungary | NO | Norway |
| BF | Burkina Faso | IE | Ireland | NZ | New Zealand |
| BG | Bulgaria | IT | Italy | PL | Poland |
| BJ | Benin | JP | Japan | PT | Portugal |
| BR | Brazil | KE | Kenya | RO | Romania |
| BY | Belarus | KG | Kyrgyzstan | RU | Russian Federation |
| CA | Canada | KP | Democratic People's Republic of Korea | SD | Sudan |
| CF | Central African Republic | KR | Republic of Korea | SE | Sweden |
| CG | Congo | KZ | Kazakhstan | SG | Singapore |
| CH | Switzerland | LI | Liechtenstein | SI | Slovenia |
| CI | Côte d'Ivoire | LK | Sri Lanka | SK | Slovakia |
| CM | Cameroon | LR | Liberia | SN | Senegal |
| CN | China | LT | Lithuania | SZ | Swaziland |
| CS | Czechoslovakia | LU | Luxembourg | TD | Chad |
| CZ | Czech Republic | LV | Latvia | TG | Togo |
| DE | Germany | MC | Monaco | TJ | Tajikistan |
| DK | Denmark | MD | Republic of Moldova | TT | Trinidad and Tobago |
| EE | Estonia | MG | Madagascar | UA | Ukraine |
| ES | Spain | ML | Mali | UG | Uganda |
| FI | Finland | MN | Mongolia | US | United States of America |
| FR | France | MR | Mauritania | UZ | Uzbekistan |
| GA | Gabon | | | VN | Viet Nam |

SECURITY PAPER

This invention is concerned with paper for security documents, and more particularly to those 5 documents which are subject to considerable amounts of handling such as banknotes and driving licences. It is important that such security documents should be durable; in other words they should be resistant to tearing, fold damage and soiling. Moisture and 10 chemicals absorbed by such security documents during handling can lead to physical degradation. It is desirable that the substrate for such security documents is resistant to absorption. It is, of course, a prime requirement for such security 15 documents that the print which is applied to the substrate should adhere well, especially under severe conditions involving mechanical abrasion or accidental laundering.

Security documents of the kind with which this 20 invention is concerned incorporate one or more visible security features to prevent or deter counterfeiting. Included in the security features which may be used are watermarks and security threads present in the paper. Security threads may be disposed entirely 25 within the paper or may appear in so-called windows located between regions where the thread is positioned between the surfaces of the paper for example as present in the Bank of England Series E banknotes. It is normal in security documents for the substrate to 30 be of good quality to enable satisfactory embossing to be achieved, such as embossing produced by the known Intaglio printing and to ensure good wear properties.

Previous proposals to provide paper for security 35 documents which have good soil resistance and

durability have involved the use of coating compositions which incorporate a pigment together with a binder such as an elastomeric binder. It is also known generally that various polyurethane compositions
5 can be used on a wide variety of substrates to provide coatings which have a protective effect of one kind or another; amongst such uses, polyurethanes have been employed as a varnish for wood or other substrates.
Also, it is known from European Patent EP-B-189945 to
10 use polyurethane compositions as sizings for paper.

In the case where a coating composition involving a pigment is used for the production of security paper, e.g. as in PCT application No.WO91/12372, such pigment usually has a benefit in providing micro-porosity or roughness which enables satisfactory ink keying to be achieved. However, there is a serious disadvantage resulting from the presence of a pigment, namely that a security feature such as a watermark or windowed thread present in the substrate is to some
15 extent obscured.
20

Pigmented coatings are inherently weak resulting from the presence of the pigment which causes the binder to be less firmly attached to the substrate in specific locations.

Usually, when pigmented coating compositions are used, the coating step takes place after the paper has been produced, and this involves the disadvantage of having to dry the paper before application of the coating composition. The prior specification
25 EP-B-189945 refers to sizing, and it will be noted later that the present invention is concerned with the use of a polyurethane composition applied to security paper after the paper has been sized with a natural or synthetic sizing agent. Furthermore, it is an
30 essential feature of the coating composition of this
35

invention that no pigment is present so that there is no obscuring of any security feature. However, as will be described below, various functional additives may be present in the polyurethane coating provided that
5 the opacity of the paper is not increased by more than 1%.

10 The present invention has resulted after extensive investigations by the inventors with the object of producing security documents which have enhanced durability and resistance to soiling.

15 According to the present invention there is provided a method for producing security paper which includes a security feature, which method comprises forming paper in a wet state, which paper incorporates one or more security features, applying to the paper a sizing agent, thereafter applying to one or both sides of the sized paper a coating comprising an unpigmented polyurethane which may optionally comprise a
20 functional additive provided that the presence of the functional additive does not increase the opacity of the paper by more than 1%, thereafter drying the paper, said coating composition being such as to provide a film, when cast on a glass surface, having a König hardness of from 15 to 130 seconds, and also
25 passing the water resistance test as defined by the following steps:

- a) The total formulation to be used in the coating is cast on a glass plate so as to produce a film with a dry weight of 80 g/m².
- 30 b) The film is initially dried at 23°C. Once it is tack free it is dried for an additional hour at 80°C.
- c) The film is weighed before being wetted and tested for tensile strength, Young's Modulus and

is visually checked for any change in its transparency.

- d) A sample of the film is boiled in water containing 10g/litre Na_2CO_3 for 30 mins.
5 e) The film is then rinsed in cold water and the steps b) to c) are then repeated. The film is dried and re-weighed.

The tested film is categorised as water resistant if it meets the following criteria:

- 10 i) The wet tensile strength and Youngs Modulus of the boiled film is not less than 90% of the initial film wet tensile strength and Young's Modulus.
15 ii) The film shows no perceptible loss of transparency.
iii) The dried weight of the film is not less than 98% of the original weight.

Research has demonstrated that the above test
20 enables satisfactory polyurethane coating to be identified for the purpose of the present invention.

25 The aqueous polyurethane may be in the form of an aqueous dispersion. The coating may incorporate an extender such as a polyacrylate and hence be in the form of a urethane-acrylic blend; such a blend must provide good water and chemical resistant coatings. Also, the low cost of an extender relative to that of the polyurethane results in the blend being considerably less costly than the polyurethane alone.

30 The coating may be a polyurethane dispersion with a one component pre-crossed-linked polyurethane or with a one component, blocked polyurethane which has isocyanate groups chemically bound to the polymer chains but which isocyanate groups are regenerated at those elevated temperatures which are generally used

in the final stages of a paper-making process. Furthermore, the coating may be a polyurethane dispersion of a two-component product which can be cross-linked by using multi-functional reagents such 5 as a melamine/formaldehyde precondensate. Cross-linking agents which may be used include polyaziridines. Cross-linking agents enhance the water resistance including laundry resistance of the unpigmented polyurethane coating to provide improved 10 security paper and documents produced therefrom.

A polyurethane composition for use in the method of this invention may include ingredients known to those skilled in the art including catalysts, co-solvents and emulsifying agents or surfactants. Care 15 has to be taken, however, because an emulsifying agent can detract from the performance of the coating under wet or humid conditions. Additionally, other known additives may be used including defoamants, flow additives, thickeners or viscosity modifiers. In 20 general an additive included in the coating composition should be kept to a minimum as important properties such as adhesion to the substrate may be adversely affected.

Whilst the main aspect of the present invention is 25 the provision of beneficial unpigmented coatings in order to provide the advantages described herein, in one aspect of the invention various functional additives may be used in order to provide specific effects which enhance the security of a security document produced 30 from the paper of this invention without significantly interfering with the general benefits provided by the unpigmented polyurethane coating. It will be understood by those skilled in the art that pigments are added to coatings, especially to paper coatings to provide colour 35 or to opacify. In contrast the functional security

additives which may be used in accordance with this invention are not pigments but are particulate materials which satisfy the following criteria:

- 5 a) the additive does not increase the opacity of the paper, once the coating is applied by more than 1%. This ensures that the additive has no appreciable effect on the transparency of the coating and hence the general benefits of unpigmented coatings are retained;
- 10 b) the presence of the additive in the polyurethane coating does not cause failure of the tests which identify the polyurethane coating for this invention, namely the Koenig hardness test and the water-resistance test.

15 A functional additive in accordance with this invention is preferably a fluorescent or an iridescent pigment.

20 A security functional additive will provide some specific effect to enhance the security or recognisability of a document produced from paper in accordance with this invention and hence constitutes an additional security feature when such additive is present in the polyurethane coating. In general, security functional additives fall into three classes:

- 25 (a) publicly recognisable security features such as iridescent pigments;
- 30 (b) security features which provide higher levels of security and which are detectable with security equipment, such as fluorescent pigment, or magnetic particles; and
- 35 (c) overt security features detectable by use of sophisticated detecting equipment such as may be used by central banks, e.g. phosphorescent pigments which possess unique decay times.

35 In general the coat

weight of the polyurethane coating will be between 0.05 and 20 and preferably between 0.5 and 5 g/m².

5 Preferably the polyurethane coating is applied to the paper immediately after a size bath squeeze roll and before the after-dryer when the paper is still wet with the size. However, the polyurethane may be applied, alternatively, to dry paper after completion of the steps of normal papermaking.

10 Preferably the polyurethane coating is applied to both sides of the paper.

The fibres which are present in the paper are natural or synthetic fibres or a mixture of natural and synthetic fibres.

15 The polyurethane is preferably of the aliphatic polyester type and is used in a dispersion with the dispersion having a polyurethane content in the range 2% to 70% by weight, and more preferably a polyurethane content in the range 5% to 30% by weight, although an aliphatic polyether type of polyurethane 20 may alternatively be employed in the method of this invention. Also the polyurethane may be aliphatic polycarbonate polyurethane.

25 Preferably the paper used in the method is provided with as a security feature, a watermark or an embedded or windowed thread which incorporates visual or covert security elements.

30 In order to achieve the prime requirements of this invention, the coating comprising the polyurethane must be substantially transparent as explained herein, and preferably have a 100% modulus of greater than 4.0 mPa. It is desirable that the polyurethane coating has an ultimate tensile strength of greater than 40 mPa, for example from 40 to 80 mPa, as well as having a König hardness of greater than 20 35 seconds, for example from 20 to 40 seconds.

It is a completely new proposal to use in the manufacture of security paper, such as paper for the production of banknotes, an unpigmented polyurethane coating as described herein. The method of this 5 invention provides security paper with several unexpected and useful properties:

- a. The polyurethane coating, being free from fillers, is transparent; it does not therefore compromise visible security 10 features present in the paper.
- b. By reducing the surface porosity and roughness the coating greatly increases the soil resistance of the paper. This is important to extend the circulation life of 15 a banknote.
- c. In contrast to b), the coating markedly improves the adhesion of print to the paper surface as evidenced by the wet and dry crumple, the wet rub and the laundry tests. 20 This is most surprising as those skilled in the art would expect the use of the polyurethane coating of this invention to lead to poor print adhesion.
- d. Unlike pigmented coatings, the polyurethane 25 coatings herein described do not markedly alter the feel or appearance of the paper. This is important because the unusual visual and tactile properties of banknote paper assist the public in distinguishing 30 counterfeits.
- e. Furthermore, the coating enhances the definition and embossing of intaglio print.
- f. The coating also prevents the uptake of 35 optical brightening agents during accidental laundering. This is an immensely beneficial

5

and unexpected property as the non-fluorescent nature of banknote paper also helps in the detection of counterfeits. It also prevents the fluorescence of optical brightening agents from obscuring any deliberate fluorescent security feature present in a banknote.

10

- g. The coating does not affect the efficiency of the paper making converting or printing processes. In particular it does not block.

15

These properties resulting from the method of this invention are a consequence of the mechanical and chemical resistance and chemistry of the polyurethane coating in accordance with the water resistance test and the König hardness characteristics as defined previously. Materials failing to meet these two tests generally fail to meet the demanding specification expected of banknote paper.

25

The invention in another aspect provides a method of producing a security document wherein security paper is produced by a method as described herein and the resulting security paper is thereafter printed to form a security document. The term security document includes a banknote, an identification document, a driving licence and a sheet for a passport.

30

The following Examples illustrate the invention. In the Examples reference is made to certain standard tests which are now described or defined. Parts are parts by weight.

35

a) The Dry Crumple Test

A bank note sized sample of printed paper is manually crumpled and flattened 10 times according to a standard technique. The printed sample is then examined and an assessment of ink loss is made.

- 10 -

b) The Wet Crumple Test

As for the dry crumple test but the paper is wetted before each crumple.

5 c) The Sheen Wet Rub Test

A bank note sized sample of printed paper is subjected to 300 rubs applied by an 800g weighted brush driven by the Sheen rub tester. The amount of ink lost during the test is visually assessed.

10

d) The Severe Laundry Test

A banknote sized sample of printed paper is boiled in solution containing 5 parts of a domestic washing powder and 10 parts sodium carbonate for 30 minutes.

15

The sample is then rinsed under cold water. The amount of ink loss is then assessed visually.

e) The FIRA Soil Test

20

A sample of the printed paper is placed at one end of a cylinder along with a reference sample placed at the opposite end and 20 felt cubes impregnated with artificial sweat and colloidal graphite. The cylinder is rotated in alternate directions for a period of 30 minutes. The change in reflectance of the printed samples is measured and the relative soil pickup is calculated by comparing the results of the test.

25

30 Example 1

A sheet of paper is produced on a paper machine from an aqueous suspension of cellulose fibres, optionally mixed with synthetic fibres or mineral fillers or other additives used in the paper industry.

- 11 -

The paper is then dried, sized, dried a second time and reeled.

A coating formulation is made consisting of:

5 15 parts: Aliphatic polyester polyurethane
 (Witocabond 785™) supplied by
 Baxenden Applied Chemicals
 Limited.

85 parts: water

10 The reeled sized paper is unreeled and the
 coating is applied to both sides of the paper using a
 Meyer bar coater and dried thereby giving a paper
 coating of 2 g/m² on both sides.

The coated paper is then finished in the usual
way, being calendered and cut.

15 The coated paper is then printed by both intaglio
 and offset methods.

20 A sample of the coating formulation is tested
 using the water resistance test described above and
 the König Hardness test. The coating is found to have a
 König Hardness of 100 secs. The coating is also found
 to have good water resistance.

25 Both coated and uncoated printed paper is tested
 using the wet crumple test, the dry crumple test, the
 severe laundry test, the FIRA soil test and the Sheen
 wet rub test. When compared to uncoated paper from
 the same papermaking batch the coated samples yielded
 the following results for each test:

Wet crumple test: Markedly less ink loss.

30 Dry crumple test: Noticeably less ink
 loss.

Severe laundry test: Almost no observable ink
 loss compared to over
 80% loss in the case of
 the uncoated paper.

- 12 -

5

Wet rub test:

Uncoated paper over 50% of a printed area is lost; polyurethane-coated paper, less than 10% of the printed area is lost.

FIRA Soil test:

30% less soil pick-up.

10 Examination of the laundered samples under UV light shows that the coated samples pick up an imperceptibly small amount of optical brightening agent, unlike the uncoated samples which become markedly fluorescent after the laundry process.

15 The intaglio print on both the coated and uncoated samples was examined. The uncoated paper shows characteristic feathering expected from banknote paper. The coated paper shows markedly less feathering.

20

Example 2

A sheet of paper is produced on a paper machine from an aqueous suspension of cellulose fibres, optionally mixed with synthetic fibres or mineral fillers or other additives used in the paper industry. 25 The paper is then dried, sized, dried a second time and reeled.

30

A coating formulation is made consisting of:

7.5 parts: Aliphatic polyester polyurethane (Witcobond 785™) supplied by Baxenden Applied Chemicals Limited.

- 13 -

7.5 parts: Vinyl Acetate - VeoVa copolymer
 (Vinamul 6975TM) supplied by
 Vinamul Limited.
0.5 parts: Polyaziridine (CX100TM) supplied
5 by Zeneca Resins BV.
84.5 parts: water

The reeled sized paper is unreeled and the
coating is applied to both sides of the paper using a
10 Meyer bar coater and dried thereby giving a paper
coating of 2 g/m² on both sides.

The coated paper is next calendered and cut in
the usual way.

15 The coated paper is then printed by both intaglio
and offset methods.

A sample of the coating formulation is tested
using the water resistance described above and the
König Hardness test. The coating has a König Hardness
of 120 secs. The polyurethane coating is found to have
20 good water resistance.

Both coated and uncoated printed paper is tested
using the wet crumple test, the dry crumple test, the
severe laundry test, the FIRA soil test and the wet
rub test. The resulting paper possessed essentially
25 the same properties as those reported for the paper
produced by the method of Example 1 with respect to
the wet crumple test etc.

Examination of the laundered samples under UV
30 light shows that the coated samples pick up an
imperceptibly small amount of optical brightening
agent, unlike the uncoated samples that become
markedly fluorescent after the laundry process.

The intaglio print on both the coated and
35 uncoated samples is examined. The uncoated paper

shows the characteristic feathering expected from banknote paper. The coated paper shows markedly less feathering.

Example 3

A sheet of paper is produced on a paper machine from an aqueous suspension of cellulose fibres, optionally mixed with synthetic fibres or mineral fillers or other additives used in the paper industry.

10 The paper is then dried, sized, dried a second time and reeled.

A coating formulation is made consisting of:

10.5 parts: Aliphatic polyester polyurethane
(Witcobond 779™) supplied by
Baxenden Applied Chemicals
Limited.

4.5 parts: Anionic styrene-acrylate copolymer
(Vinamul 7172™) supplied by
20 Vinamul Limited.

0.5 parts: Polyaziridine (CX100™) supplied by Zeneca Resins BV.

84.5 parts. water

25 The reeled sized paper is unreeled and the coating is applied to both sides of the paper using Meyer bar coater and dried thereby giving a paper coating of 2 g/m² on both sides.

The coated paper is next calendered and cut in
the usual way.

The coated paper is then printed on both intaglio and offset methods.

A sample of the coating formulation is tested using the water resistance test described above and the König Hardness test. The coating has a König

Hardness of 80 secs. The coating is also found to have good water resistance.

Both coated and uncoated printed paper is tested using the wet crumple test, the dry crumple test, the 5 severe laundry test, the FIRA soil test and the Sheen wet rub test. The resulting paper possessed essentially the same properties as those reported for the paper produced by the method of Example 1 with respect to the wet crumple test etc.

10 Examination of the laundered samples under UV light shows that the coated samples pick up an imperceptibly small amount of optical brightening agent, unlike the uncoated samples that become markedly fluorescent after the laundry process.

15 The intaglio print on both the coated and uncoated samples is examined. The uncoated paper shows the characteristic feathering expected from banknote paper. The coated paper shows markedly less feathering.

20

Example 4

A sheet of paper is produced on a paper machine from an aqueous suspension of cellulose fibres, optionally mixed with synthetic fibres or mineral 25 fillers or other additives used in the paper industry. The paper is then dried, sized, dried a second time and reeled.

A coating formulation is made consisting of:

30

15 parts: Aliphatic polyester-polycarbonate polyurethane (IR140TM) supplied by Industrial Copolymers Limited.

35

0.5 parts: Polyaziridine (CX100TM) supplied by Zeneca Resins BV.

84.5 parts: water

The reeled sized paper is unreeled and the coating is applied to both sides of the paper using a Meyer bar coater and dried thereby giving a paper 5 coating of 2 g/m² on both sides.

The coated paper is next calendered and cut in the usual way.

The coated paper is then printed by both intaglio and offset methods.

10 A sample of the coating formulation is tested using the water resistance described above and the König Hardness test. The coating has a König Hardness of 120 secs. The coating is also found to have good water resistance.

15 Both coated and uncoated printed paper is tested using the wet crumple test, the dry crumple test, the severe laundry test, the FIRA soil test and the Sheen wet rub test. The resulting paper possessed essentially the same properties as those reported for 20 the paper produced by the method of Example 1 with respect to the wet crumple test etc.

25 Examination of the laundered samples under UV light shows that the coated samples pick up an imperceptibly small amount of optical brightening agent, unlike the uncoated samples that become markedly fluorescent after the laundry process.

30 The intaglio print on both the coated and uncoated samples is examined. The uncoated paper shows the characteristic feathering expected from banknote paper. The coated paper shows markedly less feathering.

Example 5

35 A sheet of paper is produced on a paper machine from an aqueous suspension of cellulose fibres,

optionally mixed with synthetic fibres or mineral fillers or other additives used in the paper industry. The paper is dried and the size solution is applied.

5 A coating formulation is made consisting of:
15 parts: Aliphatic polyester-polycarbonate polyurethane (IR140TM) supplied by Industrial Copolymers Limited.
0.5 parts: Polyaziridine (CX100TM) supplied by Zeneca Resins BV.
10
84.5 parts: water

15 The coating is applied to both sides of the wet sized paper after a size bath squeeze roll using a Meyer bar coater and dried thereby giving a paper coating of 2 g/m² on both sides. This procedure provides an economic advantage in that a drying step has been eliminated.

20 The coated paper is next dried and reeled.
The coated paper is next calendered and cut in the usual way.
The coated paper is next printed on both intaglio and offset methods.

25 A sample of the coating formulation is tested using the water resistance test and the König Hardness test. The coating has a König Hardness of 120 secs. The coating is also found to have good water resistance.

30 Both coated and uncoated printed paper is tested using the wet crumple test, the dry crumple test, the severe laundry test, the FIRA soil test and the Sheen wet rub test. The resulting paper possessed essentially the same properties as those reported for the paper produced by the method of Example 1 with respect to the wet crumple test etc.

Examination of the laundered samples under UV light shows that the coated samples pick up an imperceptibly small amount of optical brightening agent, unlike the uncoated samples which become 5 markedly fluorescent after the laundry process.

The intaglio print on both the coated and uncoated samples is examined. The uncoated paper shows the characteristic feathering expected from banknote paper. The coated paper shows markedly less 10 feathering when printed with ink to form a security document such as a banknote.

All of the papers produced by each of Examples 1 to 5 had a water resistant coating as determined by criteria (i), (ii) and (iii) set out above. 15 Specifically, (i) the wet tensile strength and Young's Modulus showed no loss; (ii) there was no visible loss of transparency and (iii) there was no change in the weight of the film.

20

For purposes of comparison there is now given an example which shows the typical strength from a coating with inadequate water resistance and mechanical strength.

25

Example A

A sheet of paper is produced on a paper machine from an aqueous suspension of cellulose fibres, optionally mixed with synthetic fibres or mineral 30 fillers or other additives used in the paper industry. The paper is dried, sized, dried a second time and reeled.

A coating formulation is made consisting of:

- 19 -

15 parts: Aliphatic polyester polyurethane
(Witocabond 290HTM) supplied by
Baxenden Applied Chemicals Limited.

84.5 parts: water

5

The reeled sized paper is unreeled and the coating is applied to both sides of the paper using a Meyer bar coater and dried thereby giving a paper coating of 2g/m² on both sides.

10 The paper is next calendered and cut in the usual way.

The paper is next printed by both intaglio and offset methods.

15 A sample of the coating formulation is tested using the water resistance test described above and the König Hardness test. The coating has a König Hardness of 15 secs. The coating is also found not to have good water resistance.

20 Both coated and uncoated printed paper is tested using the FIRA soil test. The results show that the soil resistance of the coated paper is markedly better than the uncoated paper.

25 Both coated and uncoated printed paper is tested using the wet crumple test, the dry crumple test, the severe laundry test and the Sheen wet rub test. When compared to samples of uncoated paper from the same paper making batch the coated samples are markedly inferior.

30 The Intaglio print on both the coated and uncoated samples is examined. The uncoated paper shows the characteristic feathering expected from banknote paper. The coated paper shows markedly less feathering.

As is shown above by the various tests in the
35 Examples 1 to 5, the paper is produced in accordance

with the method of this invention has significant improved properties relative the standard banknote paper.

Good print adhesion is evidenced by the wet crumple test, the dry crumple test, the wet rub test and severe laundry tests. Also, print definition with paper according to this invention is significantly better than that obtained using traditional banknote paper. Furthermore Intaglio print in particular is better defined, and also Intaglio embossing is improved. Also excellent soil resistance means that the coated paper produced by the method of this invention attracted less than two-thirds of the soiling medium compared to uncoated paper.

As indicated above, extenders can be used in the formulation of the coating in order to reduce the cost; they may also impart useful properties such as improved adhesion of surface applied security features, such as holograms.

Extenders which may be used in accordance with this invention are typically dispersions of water insoluble binders such as styrene/acrylic copolymers, acrylated vinyl acetate, vinyl chloride/ethylene copolymers, or vinyl acetate copolymers. They are generally unable to withstand both the water-resistance and hardness tests.

An alternative extender is a VA/VEOVA copolymer, for example that sold under the trade name Vinamul 6975™.

However, in combination with a suitable polyurethane they function satisfactorily in terms of the criteria previously set out, provided that the composition comprising the polyurethane and the extender possess the specified König Hardness and pass the water-resistance test.

The extenders may be added at levels up to 70, preferably from 15 to 50, parts in 100 parts of the coating formulation. The strongest and most water-resistant extenders can be added at this level.

5 Weaker and less water-resistant extenders clearly can not be added at such high levels bearing in mind the properties specified for the coating composition.

Crosslinking agents can be used to increase the water-resistance and hardness of the polyurethane 10 coating. They can be used to obtain the required properties from polyurethanes which would otherwise be unsuitable. They can also improve the properties of the polyurethane component thereby enabling greater quantities of extender to be used. Suitable 15 crosslinking agents include polyaziridine, carbodiimide, isocyanate and zirconium salts. Other crosslinkers such as epoxy resin may be used but are less practical due to their high cure temperatures or long cure times.

20 Furthermore, further investigations have indicated that the polyurethane coatings in accordance with this invention provide a significant additional benefit. The use of the particular polyurethane 25 coatings have been found to enhance the durability and optical effects of foils, holograms, kinograms and the like. This is because the polyurethane coating reduces significantly the extent to which the adhesive used in affixing foils including holograms, is absorbed into the paper surface. It has been found that the adhesive 30 may be used more evenly and this results in better adhesion and a more glossy surface. The more glossy surface which is obtained is especially beneficial for holograms as the visual detail present in the hologram is significantly clearer to the viewer. As is well 35 known, holograms are generally expensive and it is of

undoubted commercial benefit that they will stay in place for a longer period when a security document such as a banknote is in circulation, and this is a consequence of the enhanced durability provided by the 5 polyurethane coating in accordance with this invention.

CLAIMS

1. A method for producing security paper which includes a security feature, which method comprises forming paper in a wet state, which paper incorporates one or more security features, applying to the paper a sizing agent, thereafter applying to one or both sides of the sized paper a coating comprising an unpigmented polyurethane which may optionally comprise a functional additive provided that the presence of the functional additive does not increase the opacity of the paper by more than 1%, thereafter drying the paper, said coating composition being such as to provide a film, when cast on a glass surface, having a König hardness of from 15 to 130 seconds, and also passing the water resistance test as defined by the following steps:
- a) the total formulation to be used in the coating is cast on a glass plate so as to produce a film with a dry weight of 80 g/m²;
- 20 b) the film is initially dried at 23°C. Once it is tack free it is dried for an additional hour at 80°C;
- c) the film is weighed before being wetted and tested for tensile strength, Young's Modulus and
- 25 is visually checked for any change in its transparency;
- d) a sample of the film is boiled in water containing 10g/litre Na₂CO₃ for 30 mins;
- e) the film is then rinsed in cold water and the
- 30 steps b) to c) are then repeated; wherein when the film is dried and re-weighed the film meets the following criteria:
- i) the wet tensile strength and Young's Modulus of the boiled film is not less than 90% of

the initial film wet tensile strength and Young's Modulus;

ii) the film shows no perceptible loss of transparency, and

5 iii) the dried weight of the film is not less than 98% of the original weight.

2. A method as claimed in claim 1, wherein the polyurethane is in the form of an aqueous dispersion.

3. A method as claimed in claim 1 or claim 2,
10 wherein the coating also comprises an extender.

4. A method as claimed in claim 3

0 , wherein the extender is a polyacrylate.

5. A method as claimed in any one of the preceding claims, wherein the coat weight of the
15 coating comprising the polyurethane is between 0.05 and 20 grammes per square metre.

6. A method as claimed in claim 5, wherein the coating weight is between 0.5 and 5 grammes per square metre.

20 7. A method as claimed in any one of the preceding claims, wherein the coating comprising the polyurethane is applied to the paper immediately after sizing while the paper is still wet.

25 8. A method as claimed in any one of claims 1 to 6, wherein the coating comprising the polyurethane is applied to dry paper after completion of the steps of normal papermaking.

30 9. A method as claimed in any one of the preceding claims, wherein the fibres which are present in the paper are natural or synthetic fibres or a mixture of natural and synthetic fibres.

10. A method as claimed in any one of the preceding claims, wherein the polyurethane is of the aliphatic polyester type and is used in a dispersion

with the dispersion having a polyurethane content in the range 2% to 70% by weight.

11. A method as claimed in claim 10 wherein the dispersion has a polyurethane content in the range 5%
5 to 30% by weight.

12. A method as claimed in any one of the preceding claims wherein the polyurethane is cross-linkable and is cross-linked during drying of the paper.

10 13. A method as claimed in claim 12 wherein the cross-linking is effected using an aziridine as a cross-linking agent.

14. A method as claimed in any one of the preceding claims, wherein the security feature is a watermark, or an embedded thread which thread may incorporate visual or covert security elements.
15

15. A method as claimed in any one of the preceding claims, wherein the polyurethane is unpigmented.

20 16. A method as claimed in any one of claims 1 to 14, wherein the polyurethane composition comprises a functional additive which is a fluorescent or iridescent additive.

25 17. A method as claimed in any one of the preceding claims wherein a foil including a plain foil, a hologram or a kinogram is affixed to the security paper before or after printing.

30 18. A method of producing a security document wherein security paper is produced by a method as claimed in any one of the preceding claims, and thereafter the resulting security paper is printed to form the security document, such as a banknote.

INTERNATIONAL SEARCH REPORT

International Application No.

PCT/GB 96/00562

A. CLASSIFICATION OF SUBJECT MATTER
IPC 6 D21H19/24 D21H21/40

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 6 D21H B41M

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

| Category * | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
|------------|---|-----------------------|
| A | WO,A,91 12372 (ARJOMARI EUROPE) 22 August 1991 cited in the application see the whole document --- | 1,18 |
| A | EP,A,0 599 675 (ARJO WIGGINS SA) 1 June 1994 see the whole document --- | 1 |
| A | US,A,5 232 527 (VERNHET LOUIS ET AL) 3 August 1993 see column 2, line 64 - column 3, line 66 --- | 1 |
| A | DE,A,43 36 214 (SCHWARZ GUENTHER DR) 28 April 1994 see claims 8-10 ----- | 1 |

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

* Special categories of cited documents :

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

- *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- *&* document member of the same patent family

1

| | |
|---|--|
| Date of the actual completion of the international search 23 May 1996 | Date of mailing of the international search report 07.06.96 |
| Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+ 31-70) 340-2040, Tx. 31 651 epo nl, Fax (+ 31-70) 340-3016 | Authorized officer Songy, O |

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/GB 96/00562

| Patent document cited in search report | Publication date | Patent family member(s) | | Publication date |
|--|------------------|-------------------------|----------|------------------|
| WO-A-9112372 | 22-08-91 | FR-A- | 2668507 | 30-04-92 |
| | | AT-T- | 111170 | 15-09-94 |
| | | AU-B- | 641221 | 16-09-93 |
| | | AU-B- | 7240791 | 03-09-91 |
| | | CA-A- | 2076054 | 10-08-91 |
| | | DE-D- | 69103882 | 13-10-94 |
| | | DE-T- | 69103882 | 02-02-95 |
| | | EP-A- | 0514455 | 25-11-92 |
| | | ES-T- | 2064088 | 16-01-95 |
| EP-A-0599675 | 01-06-94 | FR-A- | 2698108 | 20-05-94 |
| US-A-5232527 | 03-08-93 | FR-A- | 2607435 | 03-06-88 |
| | | EP-A,B | 0271941 | 22-06-88 |
| | | OA-A- | 8780 | 31-03-89 |
| | | ZA-A- | 8708949 | 24-05-88 |
| DE-A-4336214 | 28-04-94 | NONE | | |